# PATENT COOPERATION TREATY



### **PCT**

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference   |   |  |  |  |
|---|---|--|--|--|
| IP 4537B PCT  | FOR FURTHER ACTION  | See Notifi<br>Preliminary  | cation of Transmittal of International Examination Report (Form PCT/IPEA/416)      |  |
| International application No.   | International filing date (day/n  | nonth/year)  | Priority date (day/month/year)   |  |
| PCT/EP2003/008023   | 23 July 2003 (23.07.  | .2003)   | 21 August 2002 (21.08.2002)  |  |
| International Patent Classification (IPC) or no B60R 25/04                                  | ational classification and IPC  |  | 21146401 2002 (21.08.2002)   |  |
| Applicant   | AUDI AG   | <del></del>  |  |  |
|   |   |  |  |  |
| This international preliminary examinand is transmitted to the applicant account.           | nation report has been prepared cording to Article 36.  | by this Interna  | ational Preliminary Examining Authority  |  |
| 2. This REPORT consists of a total of   | 5 sheets, including   | g this cover sh  |  |  |
| This report is also accompanie amended and are the basis for 70.16 and Section 607 of the A | d by ANNEXES, i.e., sheets of a<br>this report and/or sheets contain<br>administrative Instructions under | the description ing rectificating the rectification of the rectification | n, claims and/or drawings which have been ons made before this Authority (see Rule |  |
| These annexes consist of a tota   |   | ŕ  |  |  |
| <ol> <li>This report contains indications relating</li> </ol>                               | ng to the following items:  |  |  |  |
| I Basis of the report   |   |  |  |  |
| II Priority   |   |  |  |  |
| III Non-establishment of  | opinion with regard to novelty, i   | inventive step   | and industrial applicability   |  |
| IV Lack of unity of invent  |   |  |  |  |
| V Reasoned statement un citations and explanation   | der Article 35(2) with regard to one supporting such statement  | novelty, inve  | ntive step or industrial applicability;  |  |
| VI Certain documents cite   | ď   |  |  |  |
| VII Certain defects in the international application  |   |  |  |  |
| VIII Certain observations or  | the international application   |  |  |  |
|   |   |  |  |  |
| ate of submission of the demand   | Date of co  | mpletion of th   | nis report   |  |
| 17 March 2004 (17.03.200  |   |  | ary 2005 (04.01.2005)  |  |
| ame and mailing address of the IPEA/EP  | Authorized  | officer  |  |  |
| csimile No.   | Telephone   | No   |  |  |
| DOWNER A LAGO   |   |  |  |  |

Form PCT/IPEA/409 (cover sheet) (July 1998)

Translation

International application No.

| I. Basis                          | of the re                                      | eport ExaMINATION REPORT  | PCT/EP2003/008023   |
|-----------------------------------|--|---|---|
|                                   |  | the elements of the international application:*   |   |
|                                   | the inte                                       | rnational application as originally filed   |   |
| $\boxtimes$                       |  | cription:   |   |
|                                   |  | •   |   |
|                                   | pages  | 1-8   | , as originally file  |
|                                   | ,  |   | <u> </u>  |
| $\boxtimes$                       |  | , filed with the lette  | er of   |
|                                   | the clair                                      | - <del></del> -   |   |
|                                   | pages .  | 1-9   | , as originally file  |
|                                   | pages _  | , as amended (to  | ogether with any statement under Article 1                                      |
|                                   | •  | **************************************  | £1. 1 1.1   |
|                                   |  | , filed with the letter   | r of  |
| $\bowtie$                         | the draw                                       | ings:   |   |
|                                   | pages _  | 1/2-2/2   | oo oninin 11. Gt  |
|                                   | pages _  |   | , as originally file  |
|                                   | pages _  | , filed with the letter   | of  |
| L th                              | e sequen                                       | ce listing part of the description:   |   |
|                                   |  |   |   |
| ,                                 | pages _  |   | , as originally filed   |
| !                                 | pages _  | , filed with the letter   | , filed with the demand   |
| <u>Ц</u> ,                        | the languor 55.3).                             | age of publication of the international application (under Rule 48.3(b)).  age of the translation furnished for the purposes of international prelim  | inary examination (under Rule 55.2 and/   |
| With r                            | egard to                                       | any nucleotide and/or amino acid sequence disclosed in the int nination was carried out on the basis of the sequence listing:   | ternational application, the international                                      |
| H °                               | ontained                                       | in the international application in written form  |   |
| -  f                              | iled toge                                      | her with the international application in computer readable form  |   |
| ₩ "                               | umisned  | subsequently to this Authority in written form.   |   |
| fi                                | ırnished                                       | subsequently to this Authority in computer readable form  |   |
|                                   | ne state                                       | ment that the subsequently furnished written sequence listing does all application as filed has been furnished.   | not go beyond the disclosure in the   |
| Т                                 | he stater                                      | ment that the information recorded in computer readable form is ident shed.   | tical to the written sequence listing has                                       |
|                                   |  | ments have resulted in the cancellation of:   | San Surrey reduction useful use   |
|                                   | the  | description, pages  |   |
|                                   | the  | claims, Nos.  |   |
| ==                                | the  | drawings, sheets/fig  |   |
| L_                                |  |   |   |
|                                   |  |   |   |
|                                   | is report                                      | has been established as if (some of) the amendments had not been made, disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**  |   |
| Replacem<br>n this re<br>nd 70.17 | is report<br>ond the c<br>ent sheet<br>port as | has been established as if (some of) the amendments had not been made, disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**  Is which have been furnished to the receiving Office in response to an inversionally filed" and are not annexed to this report since they do the containing such amendments must be referred to under item 1 and an inverse to containing such amendments must be referred to under item 1 and an inverse to the containing such amendments must be referred to under item 1. | vitation under Article 14 are referred to<br>not contain amendments (Rule 70.15 |

International application No. PCT/EP 03/08023

| <b>v</b> . | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial a citations and explanations supporting such statement | entive step or industrial applicability; |  |
|------------|--|--|--|
| •          |  |  |  |

| 1. | Statement                     |        |          |     |
|----|-------------------------------|--------|----------|-----|
|    | Novelty (N)                   | Claims | 4, 8, 9  | YES |
|    |                               | Claims | 1-3, 5-7 | NO  |
|    | Inventive step (IS)           | Claims |          | YES |
|    |                               | Claims | 1-9      | NO  |
|    | Industrial applicability (IA) | Claims | 1-9      | YES |
|    |                               | Claims |          | NO  |

- 2. Citations and explanations
  - 1. Reference is made to the following document:

D2: DE-A-19723332

#### 2. NOVELTY

The present application does not meet the requirements of PCT Article 33(1) because the subject matter of claims 1-3 and 5-7 is not novel within the meaning of PCT Article 33(2).

a) D2 discloses (the references in parentheses are to this document) a process for protecting a control device (1) for at least one motor vehicle component from interference (see column 2, lines 33-35), said control device comprising at least a microcomputer (1) and at least a memory chip (3, 4, 5), wherein the code required to operate the control device (1) is subdivided into at least one master code (see column 2, lines 35-37; minimum program and control programs for the engine in memory 3), which comprises information essential to the function of the control device (1), and at least one subcode (see column 2,

International application No. PCT/EP 03/08023

lines 37-42; data and additional program modules in memory 5), which comprises further information for operating the control device (1), wherein at least the master code is stored in the microcomputer (1) and the master code monitors interference with the subcode (see column 2, lines 54-59).

The subject matter of claim 1 is therefore not novel.

- b) In the process known from D2 the master code is stored in a read-only, write-once area (3) of the microcomputer (1). The subject matter of claim 2 is therefore not novel.
- c) In the process known from D2 the subcode is stored in a rewritable area (5) of the microcomputer (1). The subject matter of claim 3 is therefore not novel.
- d) D2 likewise discloses a control device (1) for a motor vehicle component (see column 2, lines 33-35) comprising at least a microcomputer (1) and at least a memory chip (3, 4, 5), wherein the code required to operate the control device (1) is subdivided into at least one master code (see column 2, lines 35-37; minimum program and control programs for the engine in memory 3), which comprises information essential to the function of the control device (1), and at least one subcode (see column 2, lines 37-42; data and additional program modules in memory 5), which comprises further information for operating the control device (1), and at least the master code

International application No. PCT/EP 03/08023

is stored in the microcomputer (1) and the master code (MC) contains a software functional module (inspection program in read-only memory 3) for detecting interference in the subcode. The subject matter of claim 5 is therefore not novel.

- e) In the control device known from D2 the master code is stored in a read-only, write-once area (3) of the microcomputer (1). The subject matter of claim 6 is therefore not novel.
- f) In the control device known from D2 the subcode is stored in a rewritable area (5) of the microcomputer (1). The subject matter of claim 7 is therefore not novel.

#### 3. INVENTIVE STEP

The present application does not meet the requirements of PCT Article 33(1) because the subject matter of claims 4, 8 and 9 does not involve an inventive step within the meaning of PCT Article 33(3).

a) The features of claims 4, 8 and 9 are only some of the many obvious possibilities from which a person skilled in the art would choose according to the circumstances in order to store the subcode, without thereby being inventive.

The subject matter of claims 4, 8 and 9 cannot, therefore, be considered to involve an inventive step.